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## FACSIMILE COVER SHEET

| Date: | November 12, 2004                | Fax:   | 703-872-9306 |
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| From:       | Rachel V. Leiterman              | RECEIVED           |
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| Serial No.: | 10/785,616                       | CENTRAL FAX CENTER |
| Docket:     | LUM-03-11-07                     | NOV 1 2 2004       |
| Re:         | Information Disclosure Statement |                    |
| Pages:      | 3 (including cover sheet)        |                    |

### Message:

Re:

Applicant(s):

Gerd O. Mueller, Regina B. Mueller-Mach

Assignee:

Lumileds Lighting, U.S., LLC

Title:

Wavelength Converted Semiconductor Light Emitting

Devices

Serial No.:

10/785,616

Examiner:

Not Yet Assigned

Docket No.:

LUM-03-11-07

Filed: February 23, 2004 Group Art Unit: 2879

#### Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) Information Disclosure Statement (1 page);
- (2) Form PTO/SB/08A citing 1 reference (1 page);
- (3) A copy of the cited US Patent Document is not enclosed as the requirement under 37 CFR 1.98 (a)(2)(i) is waived.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Gerd O. Mueller, Regina B. Mueller-Mach

Assignee:

Lumileds Lighting, U.S., LLC

Title:

Wavelength Converted Semiconductor Light Emitting Devices

Serial No.:

10/785,616

Filing Date:

February 23, 2004

Examiner:

Not yet assigned

Group Art Unit:

2879

Docket No.:

LUM-03-11-07

San Jose, California November 12, 2004

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

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# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)

NOV 1 2 2004

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the document listed on the accompanying form PTO/SB/08A is called to the attention of the Examiner for the above patent application. A copy of the cited US Patent documents is not enclosed as the requirement under 37 CFR 1.98 (a)(2)(i) is waived.

Citation of this document shall not be construed as:

- 1. an admission that the document is necessarily prior art with respect to the instant invention:
  - 2. a representation that a search has been made; or
- 3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

Certification of Facsimile Transmission

I hereby certify that this paper is being facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Atterney for Applicant(s)

Date of Signature

Respectfully submitted,

Rachel V. Leiterman Attorney for Applicant(s)

Reg. No. 46,235 KUL

46,868

Patent Law Group LLP 2635 North First St. Suite 223 San Jose, CA 95134 (408) 382-0480 PAX (408) 382-0481 Sheet

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PTO/SB/08A (08-03)
Approved for use through 07/31/2006, OMB 0651-0031

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|   | Complete if Known   |   |         |  |  |
| Substitute for form 1449/PTO                                      | Application Number  | 10/785,616  |         |  |  |
| WEST AND BLOOK SOURCE   | Filing Date   | February 23, 2004   |         |  |  |
| INFORMATION DISCLOSURE  | First Named Inventor  | Gerd O. Mueller   |         |  |  |
| STATEMENT BY APPLICANT  | Art Unit  | 2879  |         |  |  |
| (Use as many sheets as necessary)                                 | Examiner Name   | Not yet assigned  |         |  |  |
| read 1 of 1   | Attorney Docket Number  | LUM-03-11-07  |         |  |  |

U. S. PATENT DOCUMENTS Pages, Columns, Lines, Where Name of Patentee or Document Number Publication Data Cite No. Relevant Passages or Relevant **Applicant of Cited Document** initials\* Figures Appear Number-Kind Code<sup>2 (8 known)</sup> <sup>US-</sup> 2002/0145685 A1 Mueller-Mach et al. 10-10-2002 us. US U.S. US-US-US-US-US-US-USus-US-USus. US-US-US-US-

| FOREIGN PATENT DOCUMENTS       |  |                         |                     |  |   |          |
|--------------------------------|--|-------------------------|---------------------|--|---|----------|
| Exeminer Cito<br>Initials* No. |  | Foreign Patent Document | Publication<br>Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages |          |
|                                | Country Code <sup>2</sup> Number <sup>4</sup> 'Nnd Gode <sup>3</sup> (# known) | MM-DD-YYYY              | -                   | Or Relevant Figures Appear                         | T*  |          |
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Trial collection of information is required by 37 CFR 1.97 and 1.99. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application from to the USPTO. Time will very depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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